

Table of contents

PREFACE	1
1 INTRODUCTION	2
1.1 BRIEF HISTORY	2
1.2 CLASSIFICATION OF ANALYTICAL METHODS AND TECHNIQUES	4
1.3 PROCESS HARMONIZATION	6
2 INFRARED SPECTROSCOPY	9
2.1 INTRODUCTION	9
2.2 IR SPECTROSCOPY IN MID-REGION	11
2.2.1 <i>Theoretical principles of MIR spectrometry</i>	11
2.2.2 <i>Experimental setup for MIR spectrometry</i>	13
2.2.3 <i>Techniques for measuring MIR spectra</i>	17
2.2.4 <i>Application of MIR spectrometry in pharmaceutical industry</i>	19
2.3 IR SPECTROSCOPY IN NEAR REGION	24
2.3.1 <i>Theoretical basics of NIR spectroscopy</i>	24
2.3.2 <i>Experimental arrangement of NIR spectroscopy</i>	26
2.3.3 <i>NIR spectra measurement techniques</i>	29
2.3.4 <i>NIR analysis strategies</i>	35
2.3.5 <i>Application of NIR spectroscopy in pharmacy</i>	36
2.4 RESOURCES AND RECOMMENDED LITERATURE	50
3 RAMAN SPECTROSCOPY	54
3.1 INTRODUCTION	54
3.2 EXPERIMENTAL SETUP	59
3.3 APPLICATION OF RAMAN SPECTROSCOPY	62
3.3.1 <i>Identification of unknown samples</i>	62

3.3.2 Identification of polymorphs, solvates and salts	64
3.3.3 Raman mapping and imaging	66
3.4 RESOURCES AND RECOMMENDED LITERATURE	69
4 X-RAY DIFFRACTION	70
4.1 INTRODUCTION	70
4.2 CRYSTAL STRUCTURE, ITS GEOMETRY AND SYMMETRY	70
4.3 X-RAYS	74
4.3.1 X-ray tube	74
4.3.2 Characterization of X-ray radiation	77
4.4 ANALYTICAL X-RAY DIFFRACTION METHODS	78
4.4.1 Interactions of X-rays with crystal	82
4.4.2 Powder methods	95
4.5 APPLICATIONS OF X-RAY DIFFRACTION IN PHARMACY	102
4.5.1 Characterization and identification of unknown samples	102
4.5.2 Crystalline phase control	105
4.5.3 Negative proof of crystalline phase – amorphous material	107
4.5.4 Observation of phase transformations	108
4.5.5 Study of substance behavior under defined conditions	110
4.5.6 Determination of structures of unknown impurities or molecule chirality	112
4.5.7 Calculation of powder diffraction patterns	112
4.5.8 Determination of a 3D crystal structure from X-ray powder data	113
4.5.9 Quantitative phase analysis	115
4.6 SUMMARY	116
4.7 RESOURCES AND RECOMMENDED LITERATURE	117

5 NMR SPECTROSCOPY	119
5.1 BASIC PRINCIPLES	119
5.2 NMR SPECTROMETER	123
5.3 ^1H NMR SPECTROSCOPY	126
<i>5.3.1 Signal position – chemical shift</i>	126
<i>5.3.2 Integral signal intensity</i>	129
<i>5.3.3 Signal multiplicity</i>	130
5.4 ^{13}C NMR SPECTROSCOPY	134
5.5 TWO-DIMENSIONAL NMR SPECTRA	138
5.6 APPLICATION OF LIQUID PHASE NMR SPECTROSCOPY IN PHARMACY	143
<i>5.6.1 Structural analysis of APIs</i>	143
<i>5.6.2 Structural analysis of impurities</i>	146
5.7 SOLID STATE NMR SPECTROSCOPY	148
<i>5.7.1 Theoretical introduction</i>	148
<i>5.7.2 Application of solid state NMR spectroscopy in pharmacy</i>	156
5.8 CONCLUSION	171
5.9 RESOURCES AND RECOMMENDED LITERATURE	172
6 TERAHERTZ TIME-DOMAIN SPECTROSCOPY	174
6.1 INTRODUCTION	174
6.2 OVERVIEW OF EXPERIMENTAL TECHNIQUES IN THZ SPECTROSCOPY	175
6.3 PRINCIPLES OF THZ TIME-DOMAIN SPECTROSCOPY	177
<i>6.3.1 Principle of THz pulse generation</i>	178
<i>6.3.2 Detection of THz pulses</i>	179

6.4 THZ SPECTRA MEASURING TECHNIQUES AND DATA PROCESSING	
	180
<i>6.4.1 Transmittance measurements</i>	180
<i>6.4.2 Reflectance measurements</i>	182
<i>6.4.3 Attenuated total reflection</i>	183
<i>6.4.4 Time of flight measuring method</i>	184
<i>6.4.5 Imaging measurement</i>	184
6.5 APPLICATIONS OF THZ SPECTROSCOPY IN PHARMACY	184
<i>6.5.1 Identification of substances using THz spectra</i>	184
<i>6.5.2 Determination of hydration state of substances</i>	186
<i>6.5.3 Determination of tablet coating thickness</i>	188
<i>6.5.4 Determination of grain size</i>	188
6.6 RESOURCES AND RECOMMENDED LITERATURE	189
7 MASS SPECTROMETRY	191
7.1 BASIC PRINCIPLES	191
7.2 IONIZATION TECHNIQUES	193
<i>7.2.1 Electron ionization (EI)</i>	195
<i>7.2.2 Chemical ionization (CI)</i>	196
<i>7.2.3 Fast atom or ion bombardment ionization (FAB, FIB)</i>	198
<i>7.2.4 Matrix assisted laser desorption/ionization (MALDI)</i>	198
<i>7.2.5 Thermospray ionization (TSI)</i>	199
<i>7.2.6 Electrospray ionization (ESI)</i>	200
<i>7.2.7 Atmospheric pressure chemical ionization (APCI)</i>	203
<i>7.2.8 Atmospheric pressure photoionization (APPI)</i>	204
<i>7.2.9 New ionization techniques</i>	205

7.3 MASS ANALYZERS	206
<i>7.3.1 Magnetic analyzer with simple magnetic focusing</i>	207
<i>7.3.2 Sector analyzer with double ion focusing</i>	208
<i>7.3.3 Quadrupole (Q)</i>	209
<i>7.3.4 Triple quadrupole analyzer (QqQ)</i>	209
<i>7.3.5 Ion trap (IT)</i>	210
<i>7.3.6 Linear ion trap (LIT)</i>	211
<i>7.3.7 Orbitrap</i>	212
<i>7.3.8 Time of flight analyzer (TOF)</i>	214
<i>7.3.9 Ion cyclotron resonance with Fourier transform (FT-ICR)</i>	215
7.4 ION DETECTION TECHNIQUES	216
7.5 IDENTIFICATION AND DETERMINATION OF COMPOUNDS	218
7.6 STRUCTURAL MS ANALYSIS IN PHARMACY	221
<i>7.6.1 Modern MS systems in pharmacy</i>	222
7.7 RESOURCES AND RECOMMENDED LITERATURE	225
8 THERMAL ANALYSIS	226
8.1 DEFINITION OF THERMAL ANALYSIS METHODS	226
8.2 GENERAL PRINCIPLE OF THERMAL ANALYSIS METHODS	227
8.3 THERMAL METHODS	229
<i>8.3.1 Basic measurement factors</i>	229
<i>8.3.2 Measuring procedure</i>	230
<i>8.3.3 Evaluation of thermoanalytical measurements</i>	231
<i>8.3.4 Differential scanning thermal analysis (DSC)</i>	234
<i>8.3.5 Hyper DSC</i>	239
<i>8.3.6 Micro DSC</i>	240

8.3.7 <i>Temperature modulated differential scanning calorimetry (TMDSC)</i>	249
8.3.8 <i>Thermogravimetry (TGA)</i>	254
8.3.9 <i>Thermally stimulated current (TSC)</i>	259
8.4 RESOURCES AND RECOMMENDED LITERATURE	262
9 DISSOLUTION TESTING IN PHARMACEUTICAL INDUSTRY	264
9.1 BIOPHARMACEUTICAL CLASSIFICATION SYSTEM	264
9.2 DISSOLUTION TESTING OF SUBSTANCES	266
9.2.1 <i>Intrinsic dissolution</i>	266
9.2.2 <i>Apparent dissolution</i>	271
9.3 DISSOLUTION TESTING OF SOLID DOSAGE FORMS	275
9.3.1 <i>Dissolution</i>	275
9.3.2 <i>Experimental dissolution methods</i>	277
9.3.3 <i>Dissolution apparatuses</i>	279
9.3.4 <i>Advanced dissolution systems</i>	289
9.4 RESOURCES AND RECOMMENDED LITERATURE	293
10 SUMMARY	295
11 ABBREVIATIONS	298
12 AUTHORS	303